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Jones & Keller advises institutions that act as fiduciaries of ERISA plans, plan sponsors, issuers of securities, institutional and individual fiduciaries on ERISA implications of their business activities, including prohibited transactions, exemptions, plan asset issues, fiduciary duties, and securities law disclosure requirements. Our ERISA clients include a variety of industries, such as banks, insurance companies, investment advisors, construction companies, placement agencies and brokerage firms.

Lawyers in the ERISA practice provide counsel on the ERISA and executive compensation implications of proposed business entity transactions and restructurings, such as mergers, acquisitions and spin-offs. We also help our clients with the design and implementation of tax-qualified employee benefit plans, and advise on compliance under both ERISA and the Internal Revenue Code. Our ERISA practice includes providing advice in the following areas:

- plan design and implementation
- plan termination
- plan spin-off
- resolution of plan qualification issues
- fiduciary duty and plan compliance
- acquisition or disposition of a business
- planning and compliance related to incentive compensation
- stock options and other programs designed for executives
- resolution of claim disputes

**Attorneys**

Kevin Brown